

## Structured Products

### Appropriate Assessment & Client Declaration Form

Please complete this form and send with the application form, payment (if applicable) for the structured product, our admin fee of £35 per application and the required identity verification documents to;

Cavendish Online, 20 Cathedral Yard, Exeter, EX1 1HB.

Client Name: .....

Address: .....

.....Postcode: .....

Contact Number: .....Email address: .....

Structured Product plan being applied for, ie provider (eg Investec, Mariana) & plan name (eg Kick Out plan 75);

Provider.....Plan Name .....

#### ASSESSMENT QUESTIONS – PLEASE ANSWER ALL OF THE FOLLOWING QUESTIONS.

Have you received advice or a recommendation from Cavendish Online to invest in this product? Yes ☐ No ☐

Do you understand that you should keep your money invested in the product for the whole of the term and that if you redeem the product early you may not get back all the money that you invested? Yes ☐ No ☐

Are you aware that not all Structured Products are covered by the Financial Services Compensation Scheme (FSCS) and that you could lose some or all of your money if the counterparty were to fail or to become insolvent. Yes ☐ No ☐

Are you aware that the growth or income generated by this plan is linked to the performance of the underlying index or equities and that the return excludes any dividends due from shares in that index? Yes ☐ No ☐

Do you understand that this plan should form part of a diversified investment portfolio. Yes ☐ No ☐

#### CLIENT DECLARATION

I have read the Plan brochure including any applicable Terms and Conditions for the Plan.

I have carefully read the Key Information Document (KID) and fully understand the risks, costs, potential gains and losses of this product.

I understand that Cavendish Online does not provide investment advice or assess the suitability of this product and that I therefore take full responsibility in proceeding with this product.

By signing below I agree that I have read and understood all of the above and instruct Cavendish Online to arrange this investment on an execution-only, non-advised basis on my behalf.

Client Signature: ..... Date: .....

Where did you hear about Cavendish Online? .....

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